



## **Job-Specific BSA Series: Job-Specific BSA Training for Operations Staff**

*(90-minute webinar – on-demand also available)*

**Wednesday, July 21, 2021**

12:00 PM Pacific

1:00 PM Mountain

2:00 PM Central

3:00 PM Eastern

[\*\*Register Here\*\*](#)

The operations team sees it all – deposit transactions, loan payments, debit card transactions. As the hub for electronic banking, RDC, and ACH transactions, operations staff must receive specific BSA/AML training. This program will arm you with the compliance knowledge and tools needed to accurately perform your daily duties.

### **AFTER THIS WEBINAR YOU'LL BE ABLE TO:**

- Understand your responsibilities under the five pillars of BSA
- Identify and report potentially suspicious activity
- Gather required CDD information
- Comply with OFAC screening responsibilities
- Review red flags for hot-button, high-risk activities, including ACH fraud, elder financial exploitation, human trafficking, marijuana-related businesses, and virtual currency
- Detail the penalties for noncompliance

### **WEBINAR DETAILS**

The Bank Secrecy Act impacts all areas of your institution. As a member of the operations team, you are not only responsible for identifying and reporting suspicious activity in ACH, debit card, and electronic banking, but are also responsible for supporting the lending, deposit, and compliance staff in researching suspicious transactions. Therefore, it's crucial that operations staff receive tailored annual (and initial) training on BSA/AML requirements and fully understand day-to-day expectations. This session will detail the BSA/AML rule components, red flags for funds transfer and electronic transaction fraud, CIP documentation, and CDD rules, including documenting beneficial ownership, OFAC screening, CTR completion, and much more!

### **WHO SHOULD ATTEND?**

This informative session is designed for deposit operations personnel, loan operations personnel, electronic banking staff, BSA/AML officers, and internal auditors.

## TAKE-AWAY TOOLKIT

- Audit checklist to ensure correct CTR completion
- Sample RDC accountholder review form
- Red flags for training
- Employee training log
- Interactive quiz

## MEET THE PRESENTER

### **Dawn Kincaid, Brode Consulting Services, Inc.**

Dawn Kincaid began her banking career while attending The Ohio State University. She has over 20 years' experience in client service, operations, information technology, administrative and board relations, marketing, and compliance. Most recently Dawn served as the Senior Vice President of Operations for a central-Ohio-based community bank, where she created and refined policies and procedures, conducted self-audits and risk assessments, and organized implementation of new products and services. Dawn has served in the roles of Compliance, BSA/AML, CRA, Privacy, and Security Officer. She has led training initiatives, prepared due diligence information, completed a variety of regulatory applications, coordinated internal and external audits and exams, and presented for numerous state associations.

## THREE REGISTRATION OPTIONS – WHAT YOU GET

### **1. Live Webinar Includes**

- Unlimited connections within your institution to the Live Webinar
- Handout and Take-Away Toolkit
- Available on desktop, mobile & tablet
- Presenter's contact info for follow-up

### **2. On-Demand Webinar Includes**

- Recording of the Live Webinar
- Available two business days following Live date
- Handout and Take-Away Toolkit
- Available on desktop, mobile & tablet
- Free Digital Download, yours to keep
- Share link with anyone at your institution
- Presenter's contact info for follow-up

**3. Purchase the BOTH Option** to receive all the benefits listed above! Full registration descriptions can be found [here](#).

If you need help with anything, please drop us an email at [support@financialedinc.com](mailto:support@financialedinc.com) or call 406.442.2585