



Job-Specific BSA Series: Job-Specific BSA Training for the Frontline

(90-minute webinar – available live or on-demand)

Tuesday, August 24, 2021

12:00 PM Pacific

1:00 PM Mountain

2:00 PM Central

3:00 PM Eastern

Register Here

Are you on the frontline? Those who are literally on the frontline of the financial industry are the first line of defense against money laundering and terrorist activities. It's an important job! Join us to learn the Bank Secrecy Act's specific requirements for the frontline and all the compliance-driven details that auditors and examiners care about.

AFTER THIS WEBINAR YOU'LL BE ABLE TO:

- Identify the BSA requirements specific to your areas of responsibility
- Explain why certain information is required to set up an account and the due diligence collection and retention of that information
- Describe the characteristics of money laundering
- Identify suspicious activities
- Define common patterns of suspicious activity, including smurfing, structuring, money mules, account takeover, and more
- Distinguish between identity theft and synthetic identity
- Understand the identification, recordkeeping, and reporting requirements for CTRs, SARs, and other BSA-related issues

WEBINAR DETAILS

BSA compliance remains one of the hottest topics for examiners and auditors. Frontline staff are considered the first tier in identifying, preventing, and mitigating potential money laundering and terrorist financing activities. This webinar will explain the specific requirements of their job duties related directly to BSA/AML and anti-terrorist financing compliance.

From opening accounts, due diligence, and recognizing when enhanced due diligence may be necessary, to red flags for account opening and transactions, this webinar will teach staff their responsibilities. It will address the reasons behind the tasks they are asked to do and, most importantly, provide useful tools and red flag takeaways to help them do it. FinCEN guidance on human trafficking, unemployment insurance fraud, and other COVID-19-related red flags highlight the need to keep abreast of recent developments, frauds, and money-laundering techniques and trends. This could be the most important training your frontline receives all year!

WHO SHOULD ATTEND?

This informative session is directed to tellers, financial services and new accounts representatives, loan intake personnel, call centers, branch management and operations staff, compliance personnel, and auditors.

TAKE-AWAY TOOLKIT

- CTR and SAR cheat sheets
- Identity theft red flags
- BSA red flags for the frontline
- Employee training log
- Interactive quiz

MEET THE PRESENTER

Mary-Lou Heighes, Compliance Plus, Inc.

Mary-Lou Heighes is President and founder of Compliance Plus, Inc., which has assisted financial institutions with the development of compliance programs since 2000. She provides compliance training for trade associations and financial institutions. Mary-Lou has been an instructor at regulatory compliance schools, conducts dozens of webinars, and speaks at numerous conferences throughout the country.

Involved with financial institutions since 1989, Mary-Lou has over 25 years' compliance experience. Before starting Compliance Plus in 2000, she spent five years working as a loan officer, marketer, and collector. She also worked at a state trade association for seven years providing compliance assistance and advising on state and federal legislative issues that affect financial institutions.

THREE REGISTRATION OPTIONS – WHAT YOU GET

1. Live Webinar Includes

- Unlimited connections within your institution to the Live Webinar
- Handout and Take-Away Toolkit
- Available on desktop, mobile & tablet
- Presenter's contact info for follow-up

2. On-Demand Webinar Includes

- Recording of the Live Webinar
- Available two business days following Live date
- Handout and Take-Away Toolkit
- Available on desktop, mobile & tablet
- Free Digital Download, yours to keep
- Share link with anyone at your institution
- Presenter's contact info for follow-up

3. Purchase the BOTH Option to receive all the benefits listed above! Full registration descriptions can be found [here](#).

If you need help with anything, please drop us an email at support@financialedinc.com or call 406.442.2585