

A BANKER'S GUIDE TO BANKING CANNABIS SUMMIT 2019



APRIL 25, 2019 • HERSHEY COUNTRY CLUB
1000 E. DERRY ROAD • HERSHEY, PA 17033

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SUMMIT AGENDA

- 8:30am: REGISTRATION AND CONTINENTAL BREAKFAST
- 9:00am: WELCOME AND OPENING REMARKS
- 9:05am: WHAT ARE CANNABIS PRODUCTS? WHO ARE CANNABIS CUSTOMERS? WHY BANK CANNABIS CUSTOMERS?
- 9:45am: WHERE IS CANNABIS BANKING PERMITTED?
- 10:45am: BANKING CANNABIS IN COMPLIANCE WITH FEDERAL LAW
- 11:45am: LUNCHEON
- 12:30pm: MEETING REGULATORY EXPECTATIONS AND DEMANDS
- 1:15pm: IDENTIFYING AND MITIGATING THE RISKS IN CANNABIS BANKING
- 2:15pm: DEVELOPING AND MANAGING A CANNABIS PROGRAM
- 3:00pm: CLOSING REMARKS AND ADJOURN

WHO SHOULD ATTEND

Directors, Executive Officers, CCOs & BSA Officers

ABOUT THE PRESENTERS



GARY A. LAX
Partner
Luse Gorman, PC

Gary Lax has practiced financial institutions law and regulation for over 30 years. Mr. Lax specializes in bank regulatory and enforcement law, as well as transactional and corporate law. His practice includes representing community banks throughout the nation, as well as money center and international

banks, in a broad range of regulatory matters, including enforcement and compliance representation before the federal banking agencies. He has also advised banks in their capital raising efforts.

Mr. Lax is recognized as one of the nation's leading bank regulatory attorneys. He is a frequent speaker on financial institution matters and was on the faculty of the Federal Financial Institutions Examination Council for more than 10 years.

Prior to entering into private practice, Mr. Lax was an attorney and advisor with the Office of the Comptroller of the Currency, Washington, DC.

LUSE GORMAN



RALPH FATIGATE
Managing Director
Treliant

With more than 40 years' experience, Ralph has provided regulatory and investigative leadership in both government and advisory roles, with a focus on financial crimes compliance in the U.S. and abroad.

Prior to joining Treliant, Ralph was a Managing Director at an international advisory firm, assisting foreign and domestic financial institutions with regulatory strategy.

He is the former Director of Financial Crimes and Investigations at the New York State Department of Financial Services (NYDFS). There, he was responsible for the examination process for state-regulated financial institutions subject to Anti-Money Laundering, Bank Secrecy Act, and Office of Foreign Assets Control (AML/BSA/OFAC) requirements. He also served as the Co-Director of the Frauds Investigations Division at the New York State Insurance Department and is the former Police Commissioner for the City of Mount Vernon, New York.

He worked alongside the Federal Deposit Insurance Corporation (FDIC), Office of the Comptroller of the Currency (OCC), and state financial regulators throughout the U.S. In addition, he has experience working with foreign financial regulators and financial institutions around the world.

Ralph has been a speaker at numerous professional conferences and has provided training for domestic and international groups. He has also developed numerous training guides for managing reviews under the BSA and USA PATRIOT Act.

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Name: _____

Title: _____ Email: _____

Company: _____

Address: _____

City: _____ State: _____ Zip: _____

Phone: _____ Cell Phone (for emergencies only): _____

Special Dietary Needs: _____

Bank Twitter @: _____ Bank Instagram @: _____

Bank Facebook Page: _____

REGISTRATION FEE: PACB Members: **\$275/person** Non-members: **\$275/person** NextGen Leader: **\$175/person**
(Fee includes all sessions, materials, breaks, and luncheon.)

PAYMENT: If paying by check, please mail completed registration form along with your check payable to:

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CANCELLATION & REFUND POLICY: Full refunds will be offered for cancellation requests made in writing and received prior to March 28, 2019. Refunds after March 28th will be provided less a \$100 administrative fee. There will be no refunds for cancellations requested after April 11, 2019.

QUESTIONS? If you have any questions, please contact Evelyn Sponsler, Executive Administrative Assistant, at **717-231-7447** or **evelyn@pacb.org**.

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